FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |
|                          |           |  |  |  |  |  |  |  |  |

| 1. Name and Address of Reporting Person*  Vogt Frederick G   |  |  |   |         | <u>IO</u>   | 2. Issuer Name and Ticker or Trading Symbol IOVANCE BIOTHERAPEUTICS, INC. [IOVA]   |   |            |          |                                    |          |                  |                            |   |  | ck all appl   | ionship of Reporting<br>all applicable)<br>Director<br>Officer (give title                                     |                                      | son(s) to Iss<br>10% Ov<br>Other (s                                      | vner                                  |  |
|--|--|--|---|---------|---|--|---|------------|----------|------------------------------------|----------|------------------|----------------------------|---|--|---|--|--------------------------------------|--|---------------------------------------|--|
| (Last)   | ,  | rst)<br>OTHERAPEUTIO                       |   |         | 3. Date of Earliest Transaction (Month/Day/Year) 06/03/2024 |  |   |            |          |                                    |          |                  |                            |   | below                                  |   |  | below)                               | `  |                                       |  |
| 825 INDUSTRIAL ROAD, 4TH FLOOR   |  |  |   |         | 4. 1  | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |   |            |          |                                    |          |                  |                            |   | Line                                   | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |                                      |  |                                       |  |
| (Street)<br>SAN CA   | RLOS C   | A  | 94070   |         |   |  | Form filed by One Form filed by More Person |            |          |                                    |          |                  |                            |   |  |   |  |                                      | •  |                                       |  |
| (City) (State) (Zip)   |  |  |   |         |   |  | Rule 10b5-1(c) Transaction Indication       |            |          |                                    |          |                  |                            |   |  |   |  |                                      |  |                                       |  |
|  |  |  |   |         |   | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |   |            |          |                                    |          |                  |                            |   |  |   |  |                                      |  |                                       |  |
|  |  | Tab  | le I - Nor  | n-Deriv | /ative  | e Se   | curit                                       | ies Ac     | qu       | ired, C                            | isp      | osed o           | f, or E                    | Bene  | eficiall                               | y Owne  | d  |                                      |  |                                       |  |
| 1. Title of Security (Instr. 3)  2. Transar Date (Month/Da   |  |  |   |         | Execution Date  |  |   | Code (Inst |          |                                    |          |                  |                            |   |  | ies<br>ially<br>Following                                   | Form<br>(D) o  | n: Direct<br>or Indirect<br>nstr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                      |                                       |  |
|  |  |  |   |         |   |  |   |            |          | Code                               | <b>v</b> | Amount           | (A<br>(D                   | ) or<br>)   | Price                                  | Report<br>Transa<br>(Instr. 3                               | ed<br>ction(s)<br>and 4)   |                                      |  | (Instr. 4)                            |  |
| Common Stock <sup>(1)</sup> 06/03/   |  |  |   |         | 3/202   | /2024  |   |            | М        |                                    | 10,41    | 7                | A                          | \$0   | 15                                     | 1,751   |  | D                                    |  |                                       |  |
| Common Stock <sup>(2)</sup> 06/03/   |  |  |   | 3/202   | 4   |  |   |            | F        |                                    | 4,426    | 5                | D                          | \$8.37  | 147                                    | 47,325(3)   |  | D                                    |  |                                       |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |  |   |         |   |  |   |            |          |                                    |          |                  |                            |   |  |   |  |                                      |  |                                       |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution I<br>if any<br>(Month/Day | Date,   |   | ransaction<br>ode (Instr.  |   |            |          | Date Exe<br>piration I<br>onth/Day | Date     |                  | of Sec<br>Underl<br>Deriva | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)         | 9. Numbe<br>derivative<br>Securities<br>Beneficia<br>Owned<br>Following<br>Reported<br>Transacti<br>(Instr. 4) | e<br>s<br>lly                        | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |  |  |   |         | Code  | v  | (A)   | (D)        | Da<br>Ex | ate<br>ercisable                   |          | xpiration<br>ate | Title                      | N   | Amount<br>or<br>Number<br>of<br>Shares |   |  |                                      |  |                                       |  |
| Restricted<br>Stock<br>Units   | (4)  | 06/03/2024                                 |   |         | М   |  |   | 10,417     |          | (5)                                |          | (5)              | Comm                       |   | 10,417                                 | \$0.00  | 72,921   | (6)                                  | D  |                                       |  |

## **Explanation of Responses:**

- 1. Represents such shares underlying the restricted stock units ("RSUs") which vested on the transaction date.
- 2. Represents shares withheld by the Issuer to satisfy the mandatory tax withholding requirements upon vesting of the RSUs. This is not an open market sale of securities.
- 3. Represents common stock remaining after deducting the common stock withheld for taxes.
- 4. Each RSU represents a contingent right to receive one share of the Issuer's common stock.
- 5. The remaining RSUs will vest in equal quarterly installments.
- 6. Such aggregate number reflects the remainder of such RSUs granted on March 2, 2023, but does not include any other RSUs held by such Reporting Person.

/s/ Frederick G. Vogt

06/05/2024

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.