## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| KII | IIE9 | AND | EXCHANGE | COMMISSION |
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|     |      |     |          |            |

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| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(h)                       |

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>GRAF FINCKENSTEIN FRIEDRICH</u>             |  |   |            |         |  | 2. Issuer Name and Ticker or Trading Symbol  IOVANCE BIOTHERAPEUTICS, INC.  IOVA |   |  |     |                                     |  |         |  | (Ch  | eck all ap | plicabl<br>ctor   | ole)   | Pers   | on(s) to Iss                            | vner  |            |
|---|--|---|------------|---------|--|--|---|--|-----|-------------------------------------|--|---------|--|--|------------|---|--------|--|---|---|------------|
|   | ANCE BIO   | (First) (Middle) ANCE BIOTHERAPEUTICS, INC. |            |         |  |  | 3. Date of Earliest Transaction (Month/Day/Year) 10/14/2024 |  |     |                                     |  |         |  |  |            | Officer (give title Other (specify below)  Chief Medical Officer                                |        |  |   |   |            |
| 825 INDUSTRIAL ROAD, 4TH FLOOR  (Street) SAN CARLOS CA 94070                            |  |   |            | 4. If   |  |  |   |  |     |                                     |  |         | Lin                                    | Individual or Joint/Group Filing (Check Applicable ne)  Form filed by One Reporting Person  Form filed by More than One Reporting Person |            |   |        |  |   |   |            |
| (City)  | (5   | state)                                      | (Zip)      |         |  |  |   |  |     |                                     |  |         |  |  |            |   |        |  |   |   |            |
|   |  | Tab   | le I - Nor | n-Deriv | ative  | Se   | curit   | ies A  | cqu | uired, C                            | Disp   | posed o | of, c                                  | or Ben   | eficia     | lly Owr   | ed     |  |   |   |            |
| 1. Title of Security (Instr. 3)  2. Transar Date (Month/Date)                           |  |   |            |         | Execution (a) Ex |  |   | Deemed<br>ecution Date,<br>ny<br>onth/Day/Year)          |     | Transaction Dispose Code (Instr. 5) |  |         | Acquired<br>(D) (Instr                 |  |            |   | ,      | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)        |   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |            |
|   |  |   |            |         |  |  |   |  |     | Code                                | v  | Amount  |  | (A) or<br>(D)  | Price      | Trans   | action | ction(s)<br>3 and 4)   |   |   | (111311.4) |
| Common Stock <sup>(1)</sup> 10/14/  |  |   |            |         |  | /2024  |   |  |     | M                                   |  | 2,813   | 3                                      | A  | \$0        | 62,155  |        | 55   | D                                       |   |            |
| Common Stock <sup>(2)</sup> 10/14/  |  |   |            |         |  | 4/2024   |   |  |     | F                                   |  | 1,42    | 8                                      | D  | \$9.7      | 60,727 <sup>(3)</sup>   |        | 7 <sup>(3)</sup>   | D                                       |   |            |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |            |         |  |  |   |  |     |                                     |  |         |  |  |            |   |        |  |   |   |            |
| Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any |  |   |            |         | 4.<br>Transa<br>Code (<br>8)   |  |   | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     |                                     | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Sect<br>(Instr. 3 and 4) |         | 4)                                     |  |            | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) |        | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>t (Instr. 4) |   |            |
|   |  |   | Code       | v       | (A)  | (D)  | Da<br>Ex  | ate<br>cercisable  |     | xpiration<br>ate                    | Title  | N<br>o  | amount<br>or<br>lumber<br>of<br>Shares |  |            |   |        |  |   |   |            |

(5)

(5)

## **Explanation of Responses:**

Restricted

Stock Units

- 1. Represents such shares underlying the restricted stock units ("RSUs") which vested on the transaction date.
- 2. Represents shares withheld by the Issuer to satisfy the mandatory tax withholding requirements upon vesting of the RSUs. This is not an open market sale of securities.
- 3. Represents the common stock remaining after deducting the common stock withheld for taxes.
- 4. Each RSU represents a contingent right to receive one share of the Issuer's common stock.

10/14/2024

- 5. The remaining RSUs will vest in equal quarterly installments.
- 6. Such aggregate number reflects the remainder of such RSUs granted on January 14, 2022, but does not include any other RSUs held by such Reporting Person.

/s/ Friedrich Graf Finckenstein 10/16/2024

\$0.00

2,813(6)

D

\*\* Signature of Reporting Person

2,813

stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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