SEC Form 4

FORM 4

Check this box if no longer subject to Section 16 Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number: 3235-0287					
Estimated average burden					
hours per response:	0.5				

11. Nature

of Indirect

Beneficial

Ownership

obligations may Instruction 1(b).	continue. See		Filed	pursuar	nt to Section 16(a) of	of the Se	ecuriti	es Exchange /	Act of 19	34		hours	per response:	0.5
					tion 30(h) of the In					-				
1. Name and Address of Reporting Person* Fardis Maria (Last) (First) (Middle) 999 SKYWAY ROAD, SUITE 150				2. Issuer Name and Ticker or Trading Symbol <u>IOVANCE BIOTHERAPEUTICS, INC.</u> [IOVA] 3. Date of Earliest Transaction (Month/Day/Year) 05/01/2020							Relationship of Reporting heck all applicable) X Director X Officer (give title below) President a		10% Owner Other (specify below)	
(Street) SAN CARLOS (City)	CA (State)	94070 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indi Line) X				rson
	Tab	le I - Nor	n-Derivat	tive S	ecurities Acq	uired,	Disp	oosed of, o	or Ben	eficially	/ Owned			
		2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Follow Reported	Form: (D) or	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)			(
Common Stock			05/01/2	020		F		1,894(1)	D	\$32	300,680	(2)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 2. Conversion 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. Transaction Date Execution Date. of Expiration Date Amount of Derivative derivative or Exercise (Month/Dav/Year) if any Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Form:

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)			Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				Underlying Derivative Security (Instr. 3 and 4)		(Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. Title of

Derivative

Security

1. Exempt transaction pursuant to Section 16(b) and the rules thereunder. All of the shares reported as disposed of in this Form 4 were automatically withheld by the Issuer in accordance with the terms of the grant of the Restricted Stock Units ("RSUs") dated June 1, 2016, to satisfy federal and state tax withholding and payment obligations resulting from the vesting and settlement of RSUs. The Reporting Person did not receive or sell any of the shares reported on this Form 4 item; these shares were withheld and subsequently canceled by the Issuer in accordance with the foregoing. The grant of the RSUs was previously reported in Table I of the Reporting Person's initial Form 4 reporting the acquisition of common stock pursuant to the June 1, 2016 grant.

2. Shares reported include unvested RSUs.

<u>/s/ Maria Fardis</u>	05/04/2020
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.