| SEC Form 4 |
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| FORM | 4 |
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0287 |
|---------------------|-----------|
| Estimated average b | urden |
| hours per response: | 0.5 |

| Section obligat | this box if no lo n 16. Form 4 or ions may conti tion 1(b). | | STAT | | _ | to Section 16(a | _ | | | | - | _ | HIP | Estim | Numbe ated av | erage burder | 3235-0287 n 0.5 | |
|--|--|--|----------------------|-------|--|---|--|---------|-----------|----------------|---|--|---|----------------------|--|---------------------------------|---|--|
| | | | | 1 110 | | ion 30(h) of the | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person [*] Fardis Maria | | | | | | Name and Tick NCE BIOT | | | | ck all applica | Reporting Person(s) to Issuble) 10% Ov | | | vner | | | | |
| | ANCE BIC | irst))THERAPEUTI(\D, SUITE 150 | (Middle) CS, INC. | | 3. Date of Earliest Transaction (Month/Day/Year) 01/03/2020 | | | | | | | X Officer (give title Other (spe below) below) President and CEO | | | | | | |
| (Street) SAN CA | | | 94070 | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Inc Line) X | | | | | ı | |
| (Oity) | (City) (State) (Zip) | | | | | | | | | | <u> </u> | 0 | | | | | | |
| Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | action | 2A. Deemed Execution Date, if any (Month/Day/Yea | 3. Tran Code | saction | 4. Securi | ities A | Acquired | - | 5. Amoun Securities Beneficia Owned Fo | s Ily ollowing | Form | : Direct Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | Code | v | Amount | | (A) or (D) | Price | Price Reported Transacti (Instr. 3 a | | | | (Instr. 4) | |
| | | | | | | urities Acq ls, warrants | | | | | | | Owned | | | | | |
| | | | | | nsaction de (Instr. | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4) | | | | curity | Derivative der Security Se | | | 10. Ownership Form: Direct (D) or Indirect | Beneficial Ownership | | |

| | | | | | Code | V A | Amount | (A) or (D) | Price | Transacti (Instr. 3 a | | | | , |
|---|--|---|---|--|---------------------------------------|------|----------|--|-------|---|---|---|---|--|
| | | Table II - Deri (e.g. | | urities Acq ls, warrants | | | | | | wned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) | 6. Date Ex Expiration (Month/Da | Date | of Ur | Title and A Securities nderlying erivative Se | | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia | 5 | 10. Ownership Form: Direct (D) | 11. Nature of Indirect Beneficial Ownership |

| (Instr. 3) | or Exercise Price of Derivative Security | (Month/Day/Year) | if any (Month/Day/Year) | 8) | linstr. | or Dispos of (D) (In: | Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Date Expiratio | | ear) | Derivative (Instr. 3 ar | Security | (Instr. 5) | Securities Beneficially Owned Following Reported Transaction(s) | Direct (D) or Indirect (I) (Instr. 4) | Ownership (Instr. 4) |
|--------------------------------------|---|------------------|----------------------------|------|---------|--------------------------|--|-----|--------------------|----------------------------|-------------------------------------|------------|--|---|-------------------------|
| | | | | Code | v | (A) | | | Expiration Date | Title | Amount or Number of Shares | | (Instr. 4) | | |
| Stock Option (right to buy) | \$25.54 | 01/03/2020 | | A | | 500,000 | | (1) | 01/02/2030 | Common stock | 500,000 | \$0.00 | 500,000 | D | |

Explanation of Responses:

1. Provided the Reporting Person is still employed with the Issuer on the following dates, the options are exercisable as follows: (i) options for the purchase of one-third of the 500,000 shares shall vest on the one-year anniversary of January 3, 2020; and (ii) the remaining options shall vest in eight equal quarterly installments over the next two years, commencing with the first quarter following the first anniversary of January 3, 2020.

/s/ Maria Fardis

** Signature of Reporting Person

01/07/2020 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.