## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13D

Under the Securities Exchange Act of 1934 (Amendment No. 3)\*

# **Iovance Biotherapeutics, Inc.**

(Name of Issuer)

#### Common Stock, \$0.000041666 par value per share

(Title of Class of Securities)

#### 462260 10 0

(CUSIP Number)

Wayne P. Rothbaum Quogue Capital LLC 101 Central Park West Suite 1F New York, New York 10019 (212) 554-4475

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

#### September 15, 2023

(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of \$\$240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.  $\square$ 

**Note:** Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No	. 46	2260 1	$0\ 0$			
	Names of Reporting Persons					
	Qu	ogue C	apital LLC			
2.	Check the Appropriate Box if a Member of a Group (See Instructions)					
	(a)					
	(b)					
3.	SEC Use Only					
4.	Source of Funds (See Instructions)					
	WC					
	Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) $\Box$					
6.	Citizenship or Place of Organization					
	Del	aware				
	_	7.	Sole Voting Power			
Number of	Ī		0			
Shares		8.	Shared Voting Power			
Beneficial			23,067,333			
Owned by Each		9.	Sole Dispositive Power			
Reporting			0			
Person Wi	th	10.	Shared Dispositive Power			
1 (15011 ***)			23,067,333			
11.	Ag	gregate	Amount Beneficially Owned by Each Reporting Person			
	_	000,00				
12.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) $\Box$					
	Percent of Class Represented by Amount in Row (11)					
	15.84%					
14.	Type of Reporting Person (See Instructions)					
	OO (Other)					

CUSIP No	o. 46	2260 1	0 0			
1.	Names of Reporting Persons					
	Wayne P. Rothbaum					
2.	Check the Appropriate Box if a Member of a Group (See Instructions)					
	(a) ⊠ (b) □					
	(b)					
3.	SEC Use Only					
4.	Source of Funds (See Instructions)					
_	PF					
5.	Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) □					
6.			p or Place of Organization			
	United States					
Number o	c	7.	Sole Voting Power			
Shares	Ι	•	0			
Beneficial	1157	8.	Shared Voting Power			
Owned by	9		23,067,333			
Each		9.	Sole Dispositive Power			
Reporting			0			
Person Wi		10.	Shared Dispositive Power			
			23,067,333			
11.	Aggregate Amount Beneficially Owned by Each Reporting Person					
	25,000,000					
12.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions) $\Box$					
13.	Percent of Class Represented by Amount in Row (11)					
	15.84%					
14.	Type of Reporting Person (See Instructions)					
	IN					

#### **Explanatory Note**

This Amendment No. 3 (this "Amendment") to Schedule 13D is being filed with the Securities & Exchange Commission (the "SEC") jointly on behalf of the following persons (the "Filing Persons"): Quogue Capital LLC, a Delaware limited liability company ("Quogue"), and Wayne P. Rothbaum ("Mr. Rothbaum"), and relates to the common stock, \$0.000041666 par value per share (the "Common Stock"), of Iovance Biotherapeutics, Inc., a Delaware corporation (previously, Lion Biotechnologies, Inc.) (the "Issuer"). Mr. Rothbaum is a member of the board of directors of the Issuer. Mr. Rothbaum is also the sole managing member of Quogue, and in his capacity as such, may be deemed to exercise shared voting and investment power over the shares held by Quogue. The Filing Persons specifically disclaim beneficial ownership in the securities reported herein except to the extent of any pecuniary interest therein. This Amendment amends and supplements the initial statement on Schedule 13D filed by the Filing Persons with the SEC on June 13, 2016 and subsequently amended on December 7, 2018 and January 13, 2023 (collectively, the "Original Schedule 13D"). Except as set forth herein, this Amendment does not modify any of the information previously reported by the Filing Persons in the Original Schedule 13D. Unless otherwise indicated, all capitalized terms used herein have the meanings ascribed to them in the Original Schedule 13D.

#### Item 3. Source and Amount of Funds or Other Consideration

### Item 3 is hereby amended to add the following:

Item 3 of the Original Schedule 13D

On September 15, 2023, Quogue purchased 5,000,000 shares of Common Stock for a purchase price of \$26,500,000.

As a result of the transactions above, Quogue is the direct beneficial owner of 23,067,333 shares of Common Stock. Quogue is also the owner of 1,932,667 shares of Series B Convertible Preferred Stock, which is excluded from the above Common Stock. Mr. Rothbaum, in his capacity as the sole managing member of Quogue, may be deemed the indirect beneficial owner of the aforementioned securities held by Quogue.

The working capital of the Filing Persons was the source of the funds for the purchase of the securities described above. No part of the purchase price of the securities described above was represented by funds or other consideration borrowed or otherwise obtained for the purpose of acquiring, holding, trading or voting the securities described above.

#### **Item 5. Interest in Securities of the Issuer**

#### Item 5 is hereby amended and restated as follows:

- (a) As more fully described in Item 3 above, each of the Filing Persons is the beneficial owner of 23,067,333 shares of the Issuer's Common Stock and 1,932,667 shares of Series B Convertible Preferred Stock, representing 15.84% of the Issuer's shares of Common Stock outstanding. The percentage calculations are based upon 224,688,434 shares of Common Stock, 194 shares of Series A Convertible Preferred Stock and 2,842,158 shares of Series B Convertible Preferred Stock outstanding as of June 30, 2023, based on information provided by the Issuer's
- (b) By virtue of his status as the sole managing member of Quogue, Mr. Rothbaum may be deemed to share voting and dispositive power with respect to the 23,067,333 shares of the Issuer's Common Stock beneficially owned by Quogue. Mr. Rothbaum disclaims beneficial ownership of the securities and this report shall not be deemed an admission that Mr. Rothbaum is the beneficial owner of such securities for purposes of Section 16 or for any other purpose, except to the extent of his pecuniary interest therein.
- (c) During the past sixty days prior to the date hereof, the following transactions occurred:

Other than as described in Items 3, during the past sixty days prior to the date hereof, the Filing Persons have not engaged in any transaction in the Issuer's Common Stock.

- (d) No person, other than Mr. Rothbaum, is known to have the right to receive or the power to direct the receipt of dividends from, or any proceeds from the sale of, the shares of Common Stock beneficially owned by Quogue.
- (e) Not applicable.

# Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: October 27, 2023 Quogue Capital LLC

By: /s/ Wayne P. Rothbaum
Name: Wayne P. Rothbaum
Title: Managing Member

Dated: October 27, 2023

/s/ Wayne P. Rothbaum Name: Wayne P. Rothbaum

#### **ATTENTION**

Intentional misstatements or omissions of fact constitute Federal Criminal Violations (See 18 U.S.C. 1001).